

# Recommended Regulation and Standard Acquisition for Specific Healthcare Settings

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HIM skills are appropriately applied to a multitude of healthcare settings. Whether you are honing existing skills or making the transition to a new healthcare setting, acquisition of and thorough familiarization with the regulations and standards listed below are recommended:

## Practice Setting: *All Settings*

Recommended Resources	Source
State HIMA confidentiality, legal, or release of information manual (usually includes applicable state laws)	State health information management associations
State healthcare facility licensure laws	State departments of health
State laws relative to record retention and destruction	State laws relative to record retention and destruction
Health Insurance Portability and Accountability Act (HIPAA)	US Department of Health and Human Services, <a href="http://www.aspe.hhs.gov/admsimp/Index.htm">www.aspe.hhs.gov/admsimp/Index.htm</a>
Recording and Reporting Occupational Injuries and Illnesses (42CFR 1904)	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/29cfr1904_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/29cfr1904_01.html</a>
Patient Self-Determination Act (42 USC 1395-1396)* (Advanced Directives)	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr489_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr489_01.html</a>
Medicare and Medicaid Program Manuals, Memorandums, and Transmittals	Centers for Medicare and Medicaid Services (CMS) Web site, <a href="http://www.hcfa.gov/pubforms/progman.htm">www.hcfa.gov/pubforms/progman.htm</a>
Health Utilization Management Standards	American Accreditation Healthcare Commission/ URAC, (202) 216-9010, <a href="http://www.urac.org/programs/healthutilizmanage.htm">www.urac.org/programs/healthutilizmanage.htm</a>
Workers' Compensation Utilization Management Standards	American Accreditation Healthcare Commission/ URAC, (202) 216-9010, <a href="http://www.urac.org/programs/workerscompum.htm">www.urac.org/programs/workerscompum.htm</a>
Health Provider Credentialing Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/programs/healthprovider.htm">www.urac.org/programs/healthprovider.htm</a>

## Practice Setting: *Long-term Care*

Recommended Resources	Source
Conditions of Participation for Long Term Care (42 CFR 483)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr483_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr483_01.html</a>

MDS 2.0 Information Site	CMS Web site, <a href="http://www.hcfa.gov/medicaid/mds20/default.htm">www.hcfa.gov/medicaid/mds20/default.htm</a>
Resident Assessment Instrument for LTC Facilities	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_r_001_to_028.htm#toctop">www.hcfa.gov/pubforms/07_som/somap_r_001_to_028.htm#toctop</a>
SNF Program Manual	CMS Web site, <a href="http://www.hcfa.gov/pubforms/12_SNF/SN00.htm">www.hcfa.gov/pubforms/12_SNF/SN00.htm</a>
Interpretive Guidelines for ICF/MR	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_j_001_to_020.htm">www.hcfa.gov/pubforms/07_som/somap_j_001_to_020.htm</a>
Guidance to Surveyors (Interpretive Guidelines for Conditions of Participation)	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_pp_001_to_010.htm">www.hcfa.gov/pubforms/07_som/somap_pp_001_to_010.htm</a>
Survey and Enforcement Process for SNFs and NFs	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/som_7_toc.htm">www.hcfa.gov/pubforms/07_som/som_7_toc.htm</a>
Medicare SNF Prospective Payment System	CMS Web site, <a href="http://www.hcfa.gov/medicare/snfpps.htm">www.hcfa.gov/medicare/snfpps.htm</a>
Office of Inspector General Compliance Plan for Nursing Facilities	Department of Health and Human Services, Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpgnf.pdf">http://oig.hhs.gov/authorities/docs/cpgnf.pdf</a>
Comprehensive Accreditation Manual for Assisted Living	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Assisted Living Standards Manual	Commission on Accreditation of Rehabilitation Facilities, (520) 325-1044, <a href="http://www.carf.org">www.carf.org</a>
Comprehensive Accreditation Manual for Long Term Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

### Practice Setting: *Home Health*

Recommended Resources	Source
Conditions of Participation for Home Health (42 CFR 484)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_99/42cfr484_99.html">www.access.gpo.gov/nara/cfr/waisidx_99/42cfr484_99.html</a>
OASIS home page	CMS Web site, <a href="http://www.hcfa.gov/medicaid/oasis/oasishmp.htm">www.hcfa.gov/medicaid/oasis/oasishmp.htm</a>
Medicare Program Manual	CMS Web site, <a href="http://www.hcfa.gov/pubforms/11_hha/hh00.htm">www.hcfa.gov/pubforms/11_hha/hh00.htm</a>
Interpretive Guidelines for Conditions of Participation	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_b_01_to_01.htm">www.hcfa.gov/pubforms/07_som/somap_b_01_to_01.htm</a>
Medicare Home Health Prospective Payment System	CMS Web site, <a href="http://www.hcfa.gov/medicare/hhmain.htm">www.hcfa.gov/medicare/hhmain.htm</a>
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpghosp.pdf">http://oig.hhs.gov/authorities/docs/cpghosp.pdf</a>
Standards of Practice for Community Health	Community Health Accreditation Program, (800) 656-9656, <a href="http://www.chapinc.org">www.chapinc.org</a>
Comprehensive Accreditation Manual for Home Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

### Practice Setting: *Hospice*

<b>Recommended Resources</b>	<b>Source</b>
Conditions of Participation for Hospices (42 CFR 418)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr418_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr418_01.html</a>
Medicare Payment Policies	CMS Web site, <a href="http://www.hcfa.gov/medicare/hospiceps.htm">www.hcfa.gov/medicare/hospiceps.htm</a>
Survey Procedures and Interpretive Guidelines for Hospice	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_m.htm">www.hcfa.gov/pubforms/07_som/somap_m.htm</a>
Medicare Program Manual	CMS Web site, <a href="http://www.hcfa.gov/pubforms/21_hospice/hs0-fw.htm">www.hcfa.gov/pubforms/21_hospice/hs0-fw.htm</a>
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services, Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/hospicx.pdf">http://oig.hhs.gov/authorities/docs/hospicx.pdf</a>
Comprehensive Accreditation Manual for Home Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Standards of Practice for Hospice Programs	National Hospice and Palliative Care Organization, (800) 646-6460, <a href="http://www.nhpco.org">www.nhpco.org</a>
Community Health Accreditation Program Standards for Hospices	Community Health Accreditation Program, (800) 656-9656, <a href="http://www.chapinc.org">www.chapinc.org</a>

**Practice Setting: *Hospitals***

<b>Recommended Resources</b>	<b>Source</b>
Conditions of Participation for Hospitals (42 CFR 482)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr482_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr482_01.html</a>
Medicare Hospital Inpatient Prospective Payment System	CMS Web site, <a href="http://www.hcfa.gov/medicare/ippsmain.htm">www.hcfa.gov/medicare/ippsmain.htm</a>
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpghosp.pdf">http://oig.hhs.gov/authorities/docs/cpghosp.pdf</a>
Conditions of Participation for Patient Rights	CMS Web site, <a href="http://www.hcfa.gov/cop/2b.asp">www.hcfa.gov/cop/2b.asp</a>
Interpretive Guidelines for Swing Bed Hospitals	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_t.htm">www.hcfa.gov/pubforms/07_som/somap_t.htm</a>
Medicare Hospital Outpatient Prospective Payment System	CMS Web site, <a href="http://www.hcfa.gov/medicare/hoppsmain.htm">www.hcfa.gov/medicare/hoppsmain.htm</a>
Medicare Hospital Prospective Payment System Instructions	CMS Web site, <a href="http://www.hcfa.gov/medicare/hoppslst.htm">www.hcfa.gov/medicare/hoppslst.htm</a>
Medicare Program Manual	CMS Web site, <a href="http://www.hcfa.gov/pubforms/10_hospital/ho00.htm">www.hcfa.gov/pubforms/10_hospital/ho00.htm</a>
Survey Tasks and Interpretive Guidelines for Critical Access Hospitals	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_w_001_to_007.htm">www.hcfa.gov/pubforms/07_som/somap_w_001_to_007.htm</a>
Survey Procedures for the Application of Conditions of Participation for Hospitals— Interpretive Guidelines	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_a_002_to_004.htm">www.hcfa.gov/pubforms/07_som/somap_a_002_to_004.htm</a>
Medicare Prospective Payment System for Long Term Care Hospitals (Proposed)	CMS Web site, <a href="http://www.hcfa.gov/medicare/lthchpps.htm">www.hcfa.gov/medicare/lthchpps.htm</a>
Healthcare Facilities Accreditation Program	American Osteopathic Association, (800) 621-1773, <a href="http://www.aoa-net.org/Accreditation/">www.aoa-net.org/Accreditation/</a>

	<a href="#">HFAP/HFAP.htm</a>
Comprehensive Accreditation Manual for Hospitals	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Comprehensive Accreditation Manual for Critical Access Hospitals	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

**Practice Setting: *Clinical Research***

Recommended Resources	Source
Protection of Human Subjects (45 CFR, 46)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/45cfr46_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/45cfr46_01.html</a>
Animal Welfare (9 CFR, Ch. 1)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_02/9cfrv1_02.html">www.access.gpo.gov/nara/cfr/waisidx_02/9cfrv1_02.html</a>

**Practice Setting: *Substance Abuse***

Recommended Resources	Source
Conditions of Participation for Drug, Alcohol, and Substance Abuse (42 USC 290dd-3)*Code of Federal Regulations (42 CFR 2)*	Public Health Service Act <a href="http://www.ucop.edu/raohome/certs/42290dd3.html">www.ucop.edu/raohome/certs/42290dd3.html</a> AllLaw.com <a href="http://www.alllaw.com/federal_resources/federal_law_search/">www.alllaw.com/federal_resources/federal_law_search/</a>
Code of Federal Regulations (42 CFR 2)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html</a>
Comprehensive Accreditation Manual for Behavioral Health Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

**Practice Setting: *Behavioral Health***

Recommended Resources	Source
Conditions of Participation for Drug, Alcohol, and Substance Abuse (42 CFR 2)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html</a>
Medicare Interpretive Guidelines and Survey Procedures for Psychiatric Hospitals	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_aa-cover.htm">www.hcfa.gov/pubforms/07_som/somap_aa-cover.htm</a>
Comprehensive Accreditation Manual for Behavioral Health Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Behavioral Health Standards Manual	Commission on Accreditation of Rehabilitation Facilities, (520) 325-1044, <a href="http://www.carf.org">www.carf.org</a>
Standards for the Accreditation of Managed Behavioral Healthcare Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>

**Practice Setting: *Physical Medicine and Rehabilitation***

Recommended Resources	Source
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Conditions of Participation: Specialized Providers (42 CFR 485)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr485_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr485_01.html</a>
Inpatient Rehabilitation Facility Prospective Payment System	CMS Web site, <a href="http://www.hcfa.gov/medicare/irfpps.htm">www.hcfa.gov/medicare/irfpps.htm</a> <a href="http://www.hcfa.gov/medicare/irffinal2001.htm">www.hcfa.gov/medicare/irffinal2001.htm</a>
Medicare Program Manual	CMS Web site, <a href="http://www.hcfa.gov/pubforms/10_hospital/ho00.htm">www.hcfa.gov/pubforms/10_hospital/ho00.htm</a>
Medicare Survey Forms for Outpatient Rehabilitation	CMS Web site, <a href="http://www.hcfa.gov/medicaid/rehab/default.htm">www.hcfa.gov/medicaid/rehab/default.htm</a>
Conditions of Participation for Comprehensive Outpatient Rehabilitation Facilities	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr488_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr488_01.html</a>
Interpretive Guidelines for Comprehensive Outpatient Rehabilitation Facilities	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_k.htm">www.hcfa.gov/pubforms/07_som/somap_k.htm</a>
Medical Rehabilitation Standards Manual	Commission on Accreditation of Rehabilitation Facilities, (520) 325-1044, <a href="http://www.carf.org">www.carf.org</a>

### Practice Setting: *End Stage Renal Disease Program*

Recommended Resources	Source
Medicare Payment Systems Patient Profile Tables	CMS Web site, <a href="http://www.hcfa.gov/medicare/esrdpage.htm">www.hcfa.gov/medicare/esrdpage.htm</a>
Conditions of Participation (42 CFR Part 405.2100, Subpart U)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr405_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr405_01.html</a>
Survey Procedures and Interpretive Guidelines	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_h_intro.htm">www.hcfa.gov/pubforms/07_som/somap_h_intro.htm</a>

### Practice Setting: *Renal Dialysis*

Recommended Resources	Source
Medicare Renal Dialysis Facility Manual	CMS Web site, <a href="http://www.hcfa.gov/pubforms/29_rdf/rd0-fw.htm">www.hcfa.gov/pubforms/29_rdf/rd0-fw.htm</a>

### Practice Setting: *Ambulatory*

Recommended Resources	Source
Comprehensive Accreditation Manual for Ambulatory Care	Joint Commission on Accreditation of Healthcare Facilities, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Medicare Payment Rules for Ambulatory Care	HCFA Web site, <a href="http://www.hcfa.gov/medicare/ascmain.htm">www.hcfa.gov/medicare/ascmain.htm</a>
Medicare Documentation Guidelines for Evaluation and Management Services	CMS Web site, <a href="http://www.hcfa.gov/medlearn/emdoc.htm">www.hcfa.gov/medlearn/emdoc.htm</a>
Interpretive Guidelines for Ambulatory Surgical Services	CMS Web site, <a href="http://www.hcfa.gov/pubforms/07_som/somap_l.htm">www.hcfa.gov/pubforms/07_som/somap_l.htm</a>

Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpghosp.pdf">http://oig.hhs.gov/authorities/docs/cpghosp.pdf</a>
Medicare Manual for Rural Health Clinics	CMS Web site, <a href="http://www.hcfa.gov/pubforms/27_rhc/rh00.htm">www.hcfa.gov/pubforms/27_rhc/rh00.htm</a>
Accreditation Handbook for Ambulatory Health Care	Accreditation Association for Ambulatory Health Care Inc., (847) 853-6060, <a href="http://www.aaahc.org">www.aaahc.org</a>

**Practice Setting: *Government Healthcare Facilities***

Recommended Resources	Source
Federal Privacy Act of 1974 (Pub. L. 93-579, Dec 31, 1974, 88)*	US Department of Justice, <a href="http://www.usdoj.gov/04foia/privstat.htm">www.usdoj.gov/04foia/privstat.htm</a>

**Practice Setting: *Managed Care***

Recommended Resources	Source
Comprehensive Accreditation Manual for Health Care Networks	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Accreditation Manual for Preferred Provider Organizations	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Health Plan Employer Data and Information Set, HEDIS 3.0	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards for the Accreditation of Managed Care Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards for the Accreditation of Preferred Provider Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards for the Accreditation of Managed Behavioral Healthcare Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards for the Certification of Physician Organization	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
FAACT Quality Measures	Foundation for Accountability (FACCT), (503) 223-2228, <a href="http://www.facct.org">www.facct.org</a>
Health Network Accreditation Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/programs/healthnetwork.htm">www.urac.org/programs/healthnetwork.htm</a>
Medicare Managed Care	CMS Web site, <a href="http://www.hcfa.gov/medicare/mgdcar1.htm">www.hcfa.gov/medicare/mgdcar1.htm</a> <a href="http://www.hcfa.gov/pubforms/86_mmc/mc86toc.htm">www.hcfa.gov/pubforms/86_mmc/mc86toc.htm</a>
Workers' Compensation Network Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/programs/workerscompnet.htm">www.urac.org/programs/workerscompnet.htm</a>

**Practice Setting: *Jails/Corrections***

Recommended Resources	Source
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Standards for Health Services in Jails	National Commission on Correctional Health Care, (773) 880-1460, <a href="http://www.ncchc.org">www.ncchc.org</a>
Standards for Health Services in Juvenile Confinement Facilities	National Commission on Correctional Health Care, (773) 880-1460, <a href="http://www.ncchc.org">www.ncchc.org</a>
Standards for Health Services in Prisons	National Commission on Correctional Health Care, (773) 880-1460, <a href="http://www.ncchc.org">www.ncchc.org</a>
Agency Manual of Accreditation Policy and Procedure	American Correctional Association, (800) 222-5646, <a href="http://www.corrections.com/aca/">www.corrections.com/aca/</a>

\* May also be available at a local city, county, or law library.

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